Rize etf | ARK INVEST

RIZE GLOBAL SUSTAINABLE INFRASTRUCTURE UCITS ETF

FACTSHEET | November 2024

All data information collected as of 29 November 2024

FUND SUMMARY

The Rize Global Sustainable Infrastructure UCITS ETF (NFRA) seeks to invest in the foremost companies that potentially stand to benefit from the worldwide effort to support infrastructure development in a way that balances economic, environmental and social objectives. These are companies involved in maintaining and upgrading the existing infrastructure of more industrialised economies, as well as companies developing new infrastructure required for the economic advancement of less industrialised economies. This includes companies involved in the development of the environmental and social infrastructure needed for our transition to a greener, fairer economy. The investment categories include (i) Transportation Infrastructure, (ii) Environmental Infrastructure, (iii) Data and Telecom Infrastructure; and (iv) Social Infrastructure.

WHY NFRA?

→ **Favourable Growth Prospects**

NFRA is Europe's first global sustainable infrastructure ETF, and provides investors with exposure to companies that are supporting global infrastructure development in a way that balances economic, environmental and social objectives.

→ **Powered by Sustainable Market Strategies®**

NFRA is purpose-built in collaboration with Sustainable Market Strategies, an independent ESG intelligence firm based in Montreal, Canada, and leverages their unique insights and proprietary classification system of companies that are contributing to environmental and social objectives of the EU Taxonomy for Sustainable Activities and the UN Sustainable Development Goals in the geographical regions they serve.

→ **Unconstrained Approach**

NFRA's composition transcends classic sector, size and geographic classifications by tracking a global theme.

→ **ETF Efficiency**

In a single trade, NFRA delivers access to dozens of companies that are favourably positioned to ride the tailwinds of the global sustainable infrastructure theme.

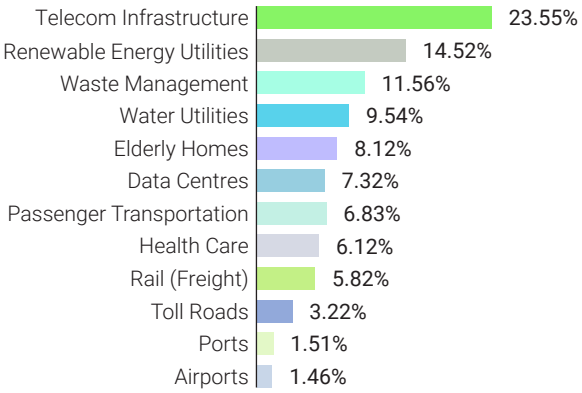
FUND DETAILS

ETF	Rize Global Sustainable Infrastructure UCITS ETF
Index Name	Foxberry SMS Global Sustainable Infrastructure Index
ISIN	IE000QUCVEN9
Fund Size (AUM)	$95.15 Million
TER	0.45%
Inception Date	17 August 2023
SFDR Classification	Article 9
Holdings	77
Base Currency	USD
Income Treatment	Distributing
Replication	Physical - Full Replication
Index Ticker	FXBYNFRA Index
Exposure	Global Equities
Domicile	Ireland
UCITS	Yes
Issuer	ARK Invest UCITS ICAV
Promoter	ARK Invest International Ltd
Manager / Investment Manager	IQ EQ Fund Management (Ireland) Limited
Depositary / Custodian	Northern Trust Fiduciary Services (Ireland) Ltd
ISA Eligible (UK)	Yes
SIPP Eligible (UK)	Yes
Reporting Fund Status (UK)	Yes
Equity Fund (DE)	Yes

TRADING INFORMATION

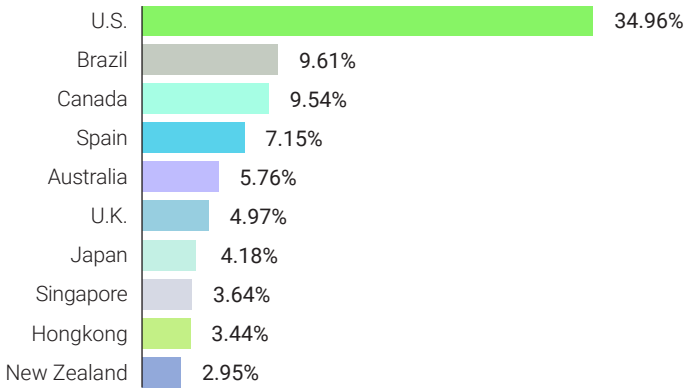
Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Borsa Italiana	EUR	14-Jun-24	BS4CNH5	NFRA IM	NFRA.MI
Deutsche Börse Xetra	EUR	25-Aug-23	BLD19V0	RIZD GY	RIZD.DE
London Stock Exchange	USD	29-Aug-23	BLD1932	NFRA LN	NFRA.L
London Stock Exchange	GBP	29-Aug-23	BLD19Z4	BRIK LN	BRIK.L
SIX Swiss Exchange	CHF	05-Mar-24	BLD19T8	NFRA SE	NFRA.S

FUND SECTOR WEIGHTS



*Totals may not sum to 100% due to rounding

TOP 10 COUNTRIES



TOP 10 HOLDINGS

Digital Rlty Tr Inc	2.04%
T-Mobile Us Inc	2.00%
Waste Mgmt Inc Del	1.97%
Equinix Inc	1.95%
Republic Svcs Inc	1.82%
Ormat Technologies Inc	1.78%
Verizon Communications Inc	1.74%
Kddi Corporation	1.67%
Gfl Environmental Inc	1.65%
Nippon Tel & Tel Corp	1.65%

PERFORMANCE RETURNS

Cumulative	ETF	Index	Difference
YTD	+2.42%	+2.88%	-0.46%
3 Month	-2.59%	-2.58%	-0.01%
6 Month	+7.90%	+8.14%	-0.24%
1 Year	+7.73%	+8.31%	-0.58%
Since Inception	+9.32%	+10.17%	-0.85%

Past performance is not a reliable indicator of future results and is not guaranteed. The ETF performance is the NAV plus dividends reinvested.

SUSTAINABILITY PROFILE

→ **MSCI ESG Rating:** A

→ **Engagement and Voting:** The Fund is supported by the engagement and voting programs of the Rize Future First Policy.

Screening Criteria

- Thermal Coal
- Oil and Gas
- Nuclear
- Controversial Weapons and Firearms
- Military Contracting
- Gambling
- Alcohol
- Tobacco
- Adult Entertainment
- Bribery and Corruption
- UN Global Compact and OECD Guidelines Violations
- Controversies
- Poor Governance

COUNTRY REGISTRATION

- Austria
- Denmark
- Finland
- Germany
- Ireland
- Italy
- Luxembourg
- Netherlands
- Norway
- Spain
- Sweden
- Switzerland
- United Kingdom

RISK FACTORS

- The Fund may be subject to renewable energy sector risks including, fluctuating energy prices which impact revenues, weather fluctuations that impact electricity generation, the risk that existing supportive regulatory frameworks become less supportive and the cost of producing renewable energy (which pose a risk to the long term economic viability of renewable energy ventures).
- The Fund may be subject to the risks associated with companies developing technologies associated with renewable energy, energy efficiency, electrification of vehicles and transport, water treatment and purification and waste management, recycling and pollution control, including the risk arising from rapidly changing technologies and obsolescence of existing products; fierce competition from competitors with lower costs; aggressive pricing and reduced profit margins; the loss of patent, copyright and trademark protections; cyclical market patterns; evolving industry standards, evolving regulatory frameworks; and frequent new product introductions.
- The Fund may also be subject to emerging market risks including: (i) political and economic risks; (ii) counterparty risk and liquidity risks; (iii) legal risks; (iv) reporting and valuation risks; (v) exchange control and repatriation risks; (vi) settlement risks; and (vii) custody risks.
- Some companies may be smaller and less experienced companies, with limited product lines, markets or financial resources and fewer experienced management or marketing personnel. They may experience extreme price and volume fluctuations that are often unrelated to their operating performance.
- The Index is typically comprised of a mix of micro, small, mid and large capitalisation companies. Micro and small capitalisation companies may be more vulnerable to adverse business or economic events than larger, more established companies and may underperform other segments of the market or the equity market as a whole. Securities of micro and small capitalisation companies generally trade in lower volumes, are less liquid and are often more vulnerable to market volatility and greater and more unpredictable price changes than larger capitalisation stocks or the stock market as a whole.
- Other: (1) Third party service providers (such as the ICAV's depositary) may go bankrupt and fail to pay money due to the Fund or return property belonging to the Fund. (2) If the Index provider stops calculating the Index or if the Fund's license to replicate the Index is terminated, the Fund may have to be closed. (3) It may not always be possible to buy and sell the Fund's Shares on a stock exchange or at prices closely reflecting the Net Asset Value. (4) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund. (5) Please refer to the "Risk Factors" section of the ICAV's Prospectus and the Fund Supplement.

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The Rize Global Sustainable Infrastructure UCITS ETF replicates the Foxberry SMS Global Sustainable Infrastructure USD Net Total Return Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies and emerging market political and economic risks.

Capital at Risk Warning – Please note that the value of an investment and any income taken from it is not guaranteed and can go down as well as up. You may not get back the amount you originally invested. If your investment currency is different to the Funds' currency of denomination (USD) or the currencies in which the Funds' assets are denominated (which may be a range of different global currencies), then the return you will get from your investment may increase or decrease as a result of currency fluctuations between your investment currency and such currencies.

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