

[non-binding translation]

List of Fees of the Reporting Office of BX Swiss AG

1. Purpose and subject			
1.1	The price list of the Reporting Office of BX Swiss AG (BX) regulates the fees that reporting participants (hereinafter referred to as “participants”) have to pay to the Reporting Office.		
2. Reporting Fee			
2.1.	The reporting fees are owed per trade or transaction report and per participant subject to reporting requirements.		
2.2	Reports from participants which are group companies or branches of the same group are considered together for the determination of maximum fees. This excludes subsidiaries in which the parent company of the Group directly or indirectly owns less than 50%.		
2.3.	Designated Market Makers are exempt from the reporting fee in their instruments.		
2.4.	Trade report (off-exchange trade, off-book trade)		
	Listed instruments (except deriBX), per trade	bps	0.25
	minimum fee	CHF	1.50
	maximum fee	CHF	25.00
2.5.	Trade report (off-exchange trade, off-book trade)		
	Instruments admitted to trading, flat rate per trade	CHF	0.20
2.6.	Maximum fee per calendar year for cumulative fees pursuant to Sections 2.4 and 2.5.		
		CHF	200'000
2.7.	Trade Report (off-exchange trade, off-book trade) deriBX,		
	Fee in CHF per calendar year for 1 – 150'000 trades, per trade	CHF	1.50
	minimum fee	CHF	1.50
	maximum fee	CHF	50'000
	Fee in CHF per calendar year for 150'001 – 200'000 trades, per trade	CHF	1.50
	minimum fee	CHF	50'000
	maximum fee	CHF	100'000
	Fee in CHF per calendar year from 200'001 trades, per trade	CHF	1.50
	minimum fee	CHF	100'000
	maximum fee	CHF	200'000
2.8.	Trade report (off-exchange trade, off-book trade) instrument neither listed nor admitted to trading on BX		
		CHF	0.20

2.9.	Transaction Report, all instruments		
	Fee in CHF per calendar year for 1 – 200'000 transactions, per transaction	CHF	0.20
	minimum charge	CHF	0.20
	maximum fee	CHF	25'000
	Fee in CHF per calendar year from 200'001 transactions, per transaction	CHF	0.20
	minimum charge	CHF	25'000
	maximum fee	CHF	50'000
2.10.	Minimum fee per month, if entries are made per month	CHF	50.00

3. Final provisions

- 3.1. The general provisions of the BX Schedule of Fees shall apply.
- 3.2. This price list was approved by FINMA on January 31st, 2023. It enters into force on January 1st, 2024 and replaces the price list of January 1st, 2023.