

## Disclosure of Management Transactions

Reporting form for companies obliged to report according to Clause 17.5 of the BX Listing Rules (LR)

Please note: This form can optionally also be used by the person subject to reporting obligation according to Clause 17.2 ff. LR. Information on the reporting procedure can be found in the " Overview of the Reporting Obligations according to Clause 17 LR " on page 4.

### 1. Details on the company subject to the reporting obligation:

Name:

Street:

No:

Place:

Postal code:

Country:

Contact person first name:

Contact person last name:

Contact person email:

Contact person phone number:

### 2. Details on the person subject to the reporting obligation:

First name:

Last name:

Street:

No:

Place:

Postal code:

Country:

Date of birth:

Role in the company:

Executive BoD member / Member of the Executive Board

Non-executive BoD member

**3. If the transaction/obligation is originated by a related person<sup>1</sup>:**

The related person is a:

- individual
- legal entity

**4. Details on the reportable transaction:**

**4.1. Type of transaction**

Purchase	Sale	Grant / Writing
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**4.2. Art des Instruments:**

Registered share	Bearer share	Call option
Put option	Conversion right	Other

**4.3. Name of financial instrument:**

**4.4. ISIN (if the financial instruments are exchange listed)**

**4.5. Special terms & conditions of non-listed financial instruments (such as ratio; strike; exercise period; type of exercise right; underlying and any other relevant information).**

**4.6. Total number of ownership rights / financial instruments**

**4.7. Total value of the transaction**

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<sup>1</sup> A related person within the meaning of Clause 17.3 LR can be both a natural person and a legal entity. A related person must have a closer relationship with the person subject to the reporting obligation than other third parties. This may be for family reasons, due to the specific living conditions or the position of the person subject to the reporting obligation in relation to a legal entity. If the transaction is carried out by a related person, then this transaction is subject to the reporting obligation if this transaction was carried out under the significant influence of the person subject to the reporting obligation.



4.8. Execution date of the transaction / date of the conclusion of the binding transaction

4.9. Date of the report sent to the company by the person subject to the reporting obligation

4.10. Any additional information (if required)

Place and date:

Name, authorised signature(s)

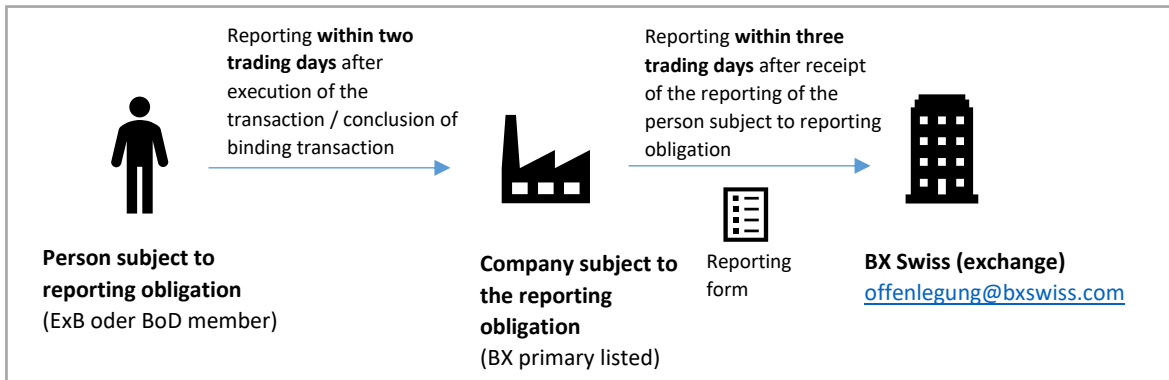
Name, authorised signature(s)

Please send the completed form by email to [offenlegung@bxswiss.com](mailto:offenlegung@bxswiss.com).

## Overview of the Reporting Obligations according to Clause 17 LR

This form is used to report management transactions by the listed company according to Clause 17.5 of the BX Listing Rules (LR). The company has to inform BX Swiss AG by using this form at the latest three trading days after it has been informed by the person subject to the reporting obligation. This form can optionally also be used by the person subject to the reporting obligation in order to inform the company according to Clause 17.2 ff LR.

### Reporting flow for primary listed companies at BX Swiss:



### Reporting flow for double listed companies at BX Swiss:

