

[non-binding translation]

List of Fees of the Reporting Office of BX Swiss AG

1. Purpose and subject			
1.1	The price list of the Reporting Office of BX Swiss AG (BX) regulates the fees that reporting participants have to pay to the Reporting Office.		
2. Reporting Fee			
2.1.	The reporting fees are owed per trade or transaction report and per securities dealer subject to reporting requirements.		
2.2	Reports from securities dealers which are group companies or branches of the same group are considered together for the determination of maximum fees pursuant to Sections 2.6 et seqq. This excludes subsidiaries in which the parent company of the Group directly or indirectly owns less than 50%.		
2.3.	Designated Market Makers are exempt from the reporting fee in their instruments as well as all stock exchange trades in the order book.		
2.4.	Trade report (off-exchange trade, off-trade)		
	Domestic instruments (except derivatives), per trade	bps	0.25
	minimum fee	CHF	1.50
	maximum fee	CHF	25.00
2.5.	Trade report (off-exchange trade, off-trade)		
	foreign instruments (except derivatives), flat rate per trade	CHF	0.20
2.6.	Maximum fee per calendar year for cumulative fees pursuant to Sections 2.4 and 2.5.		
		CHF	200'000
2.7.	Trade Report (off-exchange trade, off-trade), all derivatives		
	Fee in CHF per calendar year for 1 – 150'000 trades,		
	per trade	CHF	1.50
	minimum fee	CHF	1.50
	maximum fee	CHF	50'000
	Fee in CHF per calendar year for 150'001 – 200'000 trades,		
	per trade	CHF	1.50
	minimum fee	CHF	50'000
	maximum fee	CHF	100'000
	Fee in CHF per calendar year from 200'001 trades,		
	per trade	CHF	1.50
	minimum fee	CHF	100'000
	maximum fee	CHF	200'000

2.8.	Transaction Report, all instruments		
	Fee in CHF per calendar year for 1 – 200'000 transactions, per transaction	CHF	0.20
	minimum charge	CHF	0.20
	maximum fee	CHF	25'000
	Fee in CHF per calendar year from 200'001 – 500'000, per transaction	CHF	0.20
	minimum charge	CHF	25'000
	maximum fee	CHF	50'000
	Fee in CHF per calendar year from 500'001 transactions, per transaction	CHF	0.20
	minimum charge	CHF	50'000
	maximum fee	CHF	100'000
2.9.	Trade or Transaction Report Subscription Rights		Free of charge
2.10.	Trade report for instruments that are not admitted to trading on the BX (BX as recognised reporting office)	CHF	0.20
2.11.	Minimum fee per month, if entries are made per month	CHF	50.00
2.12.	Maximum monthly fee for reports from remote participants via a recognised Trade Data Monitor (TDM). The TDM charges its own additional fees.	CHF	2'500.00

3. Final provisions

- 3.1. The general provisions of the BX Schedule of Fees shall apply.
- 3.2. This price list was approved by FINMA on March 9th, 2021. It enters into force retroactively on January 1st, 2021 and replaces the price list of December 7th, 2020.